# Tidally-Driven Intra-Seasonal Oscillations in the Thermosphere from TIEGCM-ICON and Connections to the Madden-Julian Oscillation

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#### Abstract

Recent evidence has revealed that strong coupling between the lower atmosphere and the thermosphere (\$>\$100 km) occurs on intra-seasonal (IS) timescales (\$\sim\$30-90 days). The Madden-Julian Oscillation (MJO), a primary source of IS variability in tropical tropospheric convection and circulation, can influence the generation and propagation characteristics of atmospheric tides and has been proposed as a significant driver of thermospheric IS oscillations (ISOs). Despite this progress, the limited availability of satellite observations in the 'thermospheric gap' region (ca. 100-300 km) and the inability of numerical models to accurately characterize this region have hindered a comprehensive understanding of this connection and the fundamental processes involved. In this study, an Ionospheric Connection Explorer (ICON)-adapted version of the Thermosphere Ionosphere Electrodynamics General Circulation Model (TIEGCM), incorporating lower boundary tides derived from MIGHTI observations, is utilized to characterize and quantify the impact of the upward-propagating tidal spectrum on thermospheric ISOs and to elucidate connections to the MJO. Thermospheric zonal and diurnal mean zonal winds are shown to exhibit prominent (\$\sim\$20 m/s) tidally-driven ISOs throughout 2020-2021, largest at low latitudes ( $\gamma m 30^{\circ} circ$ ) near  $\sin 10^{-150} km$  altitude. Correlation analyses demonstrate a robust (r\$>\$0.6) connection between the thermospheric ISOs, tides, and the tropospheric MJO, moreover, Hovm\"oller diagrams indicate eastward tidal propagation consistent with the MJO and concurrent SABER observations. This study demonstrates that vertically propagating tides play a crucial role in linking IS variability from the lower atmosphere to the thermosphere, with the MJO identified as a primary contributor to this significant whole-atmosphere teleconnection.

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## 11 Key Points:

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12	• TIEGCM-ICON is used to quantify the impact of the upward-propagating tidal
13	spectrum on thermospheric intra-seasonal oscillations (ISOs)
14	- Thermospheric mean zonal winds exhibit prominent (±20 m/s) tidally-driven ISOs
15	largest at low latitudes near 110-150 km altitude
16	• Correlation and Hovmöller analyses demonstrate a strong connection with the tro-
17	pospheric Madden-Julian Oscillation

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#### 18 Abstract

Recent evidence has revealed that strong coupling between the lower atmosphere and 19 the thermosphere (>100 km) occurs on intra-seasonal (IS) timescales ( $\sim$ 30-90 days). The 20 Madden-Julian Oscillation (MJO), a primary source of IS variability in tropical tropo-21 spheric convection and circulation, can influence the generation and propagation char-22 acteristics of atmospheric tides and has been proposed as a significant driver of thermo-23 spheric IS oscillations (ISOs). Despite this progress, the limited availability of satellite 24 observations in the 'thermospheric gap' region (ca. 100-300 km) and the inability of nu-25 merical models to accurately characterize this region have hindered a comprehensive un-26 derstanding of this connection and the fundamental processes involved. In this study, 27 an Ionospheric Connection Explorer (ICON)-adapted version of the Thermosphere Iono-28 sphere Electrodynamics General Circulation Model (TIEGCM), incorporating lower bound-29 ary tides derived from Michelson Interferometer for Global High-resolution Thermospheric 30 Imaging (MIGHTI) observations, is utilized to characterize and quantify the impact of 31 the upward-propagating tidal spectrum on thermospheric ISOs and to elucidate connec-32 tions to the MJO. Thermospheric zonal and diurnal mean zonal winds are shown to ex-33 hibit prominent (~20 m/s) tidally-driven ISOs throughout 2020-2021, largest at low lat-34 itudes ( $\pm 30^{\circ}$ ) near ~110-150 km altitude. Correlation analyses demonstrate a robust (r>0.6) 35 connection between the thermospheric ISOs, tides, and the tropospheric MJO, moreover, 36 Hovmöller diagrams indicate eastward tidal propagation consistent with the MJO and 37 concurrent Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) 38 observations. This study demonstrates that vertically propagating tides play a crucial 30 role in linking IS variability from the lower atmosphere to the thermosphere, with the 40 MJO identified as a primary contributor to this significant whole-atmosphere telecon-41 nection. 42

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## Plain Language Summary

In recent decades, significant discoveries have highlighted how Earth's lower atmosphere, particularly tropical weather systems, can impact the thermosphere's dynamics. Of particular interest are solar tides generated by deep convection in the tropics that propagate upward into the thermosphere. The Madden-Julian Oscillation (MJO), a periodic weather phenomenon, influences these upward-propagating tides. Advances in satellite technology have allowed scientists to observe and quantify these effects more accurately.

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In this study, we used a numerical model of the ionosphere-thermosphere (TIEGCM), 50 incorporating lower boundary tides from the Ionospheric Connection Explorer (ICON) 51 satellite mission, to examine the connection between solar tides, intra-seasonal oscilla-52 tions (ISOs) in the thermosphere, and the tropospheric MJO. Results show that near 53 the equator in the thermosphere, at altitudes of 110-150 km, average east-west winds ex-54 hibit significant ISO variations driven by tides, reaching amplitudes of more than 20 m/s. 55 These variations are strongly correlated with changes in upward-propagating diurnal tidal 56 amplitudes, whose longitude-time variation is consistent with the MJO and independent 57 Sounding of the Atmosphere using Broadband Emission Radiometry (SABER) satellite 58 observations. This study underscores the pivotal role of upward-propagating solar tides 59 in connecting ISOs from the lower atmosphere to the thermosphere, highlighting the Madden-60 Julian Oscillation (MJO) as the primary driver of this connection. 61

### 62 1 Introduction

The structure and dynamics of the thermosphere, spanning altitudes of approxi-63 mately 100-500 km, are influenced by external processes associated with the Sun and mag-64 netosphere (e.g., Thaver and Semeter, 2004; Johnson and Heelis, 2005), as well as inter-65 nal processes related to atmospheric waves propagating from the lower atmosphere (An-66 drews et al., 1987). These waves are characterized by different spatiotemporal scales and 67 include global-scale solar tides, planetary waves, and Kelvin waves, as well as smaller-68 scale gravity waves (e.g., Fritts and Alexander, 2003; Forbes et al., 2009; Pancheva et 69 al., 2012; Gasperini et al., 2015; Yiğit and Medvedev, 2015; Liu et al., 2016). Of partic-70 ular interest in understanding the thermosphere's dynamics, known to vary significantly 71 from intra-seasonal (IS) to inter-annual time scales (e.g., Sassi et al., 2019), is the role 72 of upward-propagating solar tides (e.g., Gasperini and Oberheide, 2024 and references 73 therein). Emerging modeling and space-based evidence suggest that much of the IS vari-74 ability of the thermosphere is connected with an IS-modulated vertically propagating 75 tidal spectrum (e.g., Li and Lu, 2020, 2021; Yang et al., 2018; Vergados et al., 2018; Gasperini 76 et al., 2017, 2020; Kumari et al., 2020, 2021). 77

Solar tides are persistent and ubiquitous global-scale oscillations observed in var ious atmospheric fields, such as wind, temperature, pressure, density, and geopotential
 height, with periods that are integer fractions of a solar day (Chapman and Lindzen, 1970;
 Matsushita 1967a,b; Forbes 1995; Hagan et al., 1995). The notation DWs or DEs is used

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to describe westward or eastward-propagating diurnal tides, respectively, with zonal wavenum-82 ber 's'. For semidiurnal tides, 'S' replaces 'D', while zonally symmetric oscillations are 83 denoted as D0 and S0. Solar tides can be excited through several mechanisms, includ-84 ing the absorption of solar radiation, large-scale latent heat release from deep convec-85 tive clouds in the troposphere, the gravitational pull of the Sun, and secondary waves 86 resulting from nonlinear wave-wave interactions (Palo et al., 1999; Chang et al., 2011; 87 Liu, 2016; Gasperini et al., 2015, 2021, 2022; Forbes et al., 2021a,b; Gasperini and Ober-88 heide, 2024). 89

The Madden-Julian Oscillation (MJO) (Madden and Julian, 1971, 1994) represents 90 the principal mode of IS variability in tropical convection and circulation and has been 91 a focus of extensive research since its discovery due to its critical role in medium-range 92 weather forecasting. Characterized as an eastward-moving disturbance within low lat-93 itudes  $(\pm 30^{\circ})$ , the MJO typically recurs every 30-90 days, influencing tropical winds, clouds, 0/ rainfall, and numerous other atmospheric variables (Zhang, 2005). The Real-time Mul-95 tivariate MJO series 1 (RMM1) and 2 (RMM2) indices (Wheeler and Hendon, 2004) are 96 commonly utilized to identify the MJO. This oscillation generates a spectrum of global-97 scale waves, predominantly through convective forcing (Wheeler and Kiladis, 1999), and 98 exhibits significant dependence on seasonal variation, MJO magnitude, and phase. De-99 spite the rapid attenuation of the MJO above the tropopause due to its low frequency 100 and slow zonal propagation speed (e.g., Zhang, 2005; Tian et al., 2012), its impacts have 101 been observed in lower thermospheric gravity waves (e.g., Karoly et al., 1996; Eckermann 102 et al., 1997; Moss et al. 2016; Li and Lu, 2020, 2021) and solar tides (e.g., Yang et al., 103 2018; Vergados et al., 2018; Gasperini et al., 2017, 2020; Kumari et al., 2020, 2021). Early 104 investigations into MJO signals within the lower thermosphere were limited and predom-105 inantly based on radar wind observations. Eckermann et al. (1997) and Lieberman (1998) 106 suggested that while the MJO is confined to the lower atmosphere, it could modulate 107 upward propagating tides and gravity waves, potentially inducing similar periodic sig-108 natures across various vertical levels. Subsequently, Lieberman et al. (2007) proposed 109 that this whole atmosphere IS coupling involving tidal variability can occur through sev-110 eral mechanisms: variability in tropospheric heating that generates the tides; interac-111 tions with the zonal mean flow, which modulate tidal behavior as waves propagate through 112 a variable background in the middle and upper atmosphere; or nonlinear wave-wave in-113 teractions. 114

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As noted above, the influence of the MJO on tides and the related effects on the 115 thermosphere was first suggested over two decades ago. However, only recent advance-116 ments in satellite observational capabilities and physics-based models have enabled im-117 proved quantification and characterization of these effects. Satellite-based thermospheric 118 observations (e.g., Gasperini et al., 2017, 2020; Kumari et al., 2020) and modeling ef-119 forts (e.g., Yang et al., 2018; Vergados et al., 2018; Gasperini et al., 2020; Kumari et al., 120 2021) have demonstrated a robust connection between solar tides in the thermosphere 121 and the tropospheric MJO. Using cross-track wind measurements from the Challenging 122 Minisatellite Payload (CHAMP) and the Gravity field and steady-state Ocean Circula-123 tion Explorer (GOCE) satellites, along with high-resolution Thermosphere-Ionosphere-124 Mesosphere-Electrodynamics General Circulation Model (TIME-GCM) simulations forced 125 with Modern-Era Retrospective Analysis for Research and Applications (MERRA) re-126 analysis data, and Outgoing Longwave Radiation (OLR) data, Gasperini et al. (2017) 127 identified significant 90-day oscillations in thermospheric zonal mean and DE3 zonal winds 128 during 2009-2010, linking these oscillations to variability in tropospheric convective ac-129 tivity. Following this study, Vergados et al. (2018) used 14 years of Sounding of the At-130 mosphere using Broadband Emission Radiometry (SABER) temperature measurements 131 to show distinct IS oscillations (ISO) in the low-latitude lower thermosphere and con-132 nections to tides. Kumari et al. (2020) showed that the MJO influences the DE3 and 133 DW1 temperature tides in the lower thermosphere by  $\sim 25\%$  and  $\sim 10\%$  (peak-to-peak), 134 respectively. Their findings indicated a strong seasonal dependency of the MJO influ-135 ence, with Kumari et al. (2020) observing robust effects across all seasons, dependent 136 on the MJO phase and location. Moreover, they observed that the seasonal variations 137 in the IS variability of nonmigrating tides at various MJO locations were found to be more 138 pronounced than those in migrating diurnal tides. Further investigation by Kumari et 139 al. (2021), using Specified-Dynamics Whole Atmosphere Community Climate Model with 140 thermosphere and ionosphere extension (SD/WACCM-X), found that IS variability in 141 tidal heating plays a more crucial role than tidal amplitude modulation by background 142 winds in imprinting the MJO signal on low-latitude lower thermospheric tides. The MJO 143 modulation of tides (and ultra-fast Kelvin waves, not discussed here), extends well into 144 the thermosphere, as evidenced by in-situ wind observations near 260 km from the GOCE 145 satellite (Gasperini et al., 2020) and there is observational evidence of MJO modulation 146

of thermospheric zonal and diurnal mean winds (~20 m/s peak-to-peak) from CHAMP
and GOCE satellite diagnostics (Gasperini et al., 2017).

These recent studies highlight significant coupling between the troposphere and ther-149 mosphere on IS timescales, prompting critical questions with broad implications for the 150 entire atmospheric system. Understanding the connection between the MJO and the upward-151 propagating tidal spectrum, and the impact of the MJO-modulated wave spectrum on 152 the thermosphere, is a crucial next step for improving whole atmosphere prediction ca-153 pabilities. Further investigation of the IS variability of the thermosphere and connec-154 tions to the MJO is thus warranted. In light of these considerations, two major goals ad-155 dressed by this study are (1) to better understand the role that tidal variability from the 156 lower and middle atmosphere play in producing thermospheric zonal wind ISOs, and (2) 157 to examine connections between thermospheric winds, tidal variability, and the tropo-158 spheric MJO. These advancements are crucial to achieving an improved understanding 159 of the coupling between terrestrial weather and variability in the 'entangled' thermosphere-160 ionosphere (T-I) system. 161

This paper is structured as follows: Section 2 introduces the data and model used; Section 3 contains a brief description of the methods and techniques employed; Section 4 presents the results; and Section 5 summarizes the main conclusions of the study.

- <sup>165</sup> 2 Data and Model
  - 2.1 OLR

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The daily Outgoing Longwave Radiation (OLR) Climate Data Record (CDR) quan-167 tifies the amount of infrared radiation emitted from the Earth's surface and lower at-168 mosphere into space, providing insights into cloud cover and water vapor distribution 169 (Gruber and Winston, 1978). Since 1979, this record has primarily utilized data from 170 high-resolution infrared radiation sounders, supplemented by newer technologies such 171 as the Infrared Atmospheric Sounding Interferometer (IASI) since 2007 and the Cross-172 track Infrared Sounder (CrIS) since 2012. To enhance accuracy, OLR data is also derived 173 from operational geostationary imagers, integrating multiple sources through statisti-174 cal methods like OLR regression, prediction coefficients for instrument temperature, and 175 corrections for inter-satellite biases. The dataset to be used for this study consists of daily 176 OLR values binned in a  $2.5^{\circ}$  latitude x  $2.5^{\circ}$  longitude global grid with gaps that are tem-177

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porally and spatially interpolated (Liebmann and Smith, 1996). OLR estimation algorithms have an accuracy of about 4-8 Wm<sup>-2</sup> (Kayano et al., 1995).

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#### 2.2 RMM MJO index

The Real-time Multivariate MJO (RMM) index is utilized to monitor the MJO. 181 MJO events are commonly identified using RMM series 1 (RMM1) and series 2 (RMM2), 182 as detailed in Wheeler and Hendon (2004). The RMM index identifies the strength and 183 position of the MJO's active phase, characterized by extensive and persistent cloudiness 184 typically observed in the Indian Ocean region. Comprising the first two principal com-185 ponents (PCs) derived from OLR, 850-hPa zonal wind, and 200-hPa zonal wind aver-186 aged between  $15^{\circ}$  S and  $15^{\circ}$  N, the RMM index provides a quantitative measure of the 187 MJO's intensity and location within the tropics. MJO events are grouped into eight ac-188 tive phases (Phases 1-8) according to the amplitude and phase information obtained by 189 combining the RMM1 and RMM2 values, with enhanced convection moving eastward 190 from the central Pacific to the Indian Ocean. An 'active' MJO event is said to occur when 191 an RMM index is greater than 1 for at least 5 consecutive days. This study presents cor-192 relative findings utilizing RMM1 as a proxy for MJO variability, given its highest cor-193 relation with thermospheric parameters. Notably, similar conclusions can be derived when 194 using MJO amplitude or RMM2. Investigating the underlying causes for the stronger 195 correlations observed with RMM1, as opposed to RMM2 and MJO amplitude, is beyond 196 the scope of this work. 197

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#### 2.3 ICON/MIGHTI

NASA's Ionospheric Connection (ICON) Explorer is a Heliophysics System Obser-199 vatory (HSO) mission with the primary objective to observe how the ionosphere is in-200 fluenced by the dynamics of the neutral atmosphere (Immel et al., 2018, 2021; Immel 201 and Eastes, 2019). ICON was launched on 10 October 2019 on a nearly circular  $\sim 27^{\circ}$ 202 inclination orbit near 590 km altitude, providing concurrent measurements of the ther-203 mospheric and ionospheric environments through 10 November 2022. Operating as a sin-204 gle observatory, ICON collected data covering two local solar times (LST) per day at a 205 particular latitude, each progressing approximately 29.8 minutes earlier daily. ICON's 206 Michelson Interferometer for Global High-resolution Thermospheric Imaging (MIGHTI) 207 instrument provides day and night vector winds with a precision of  $\sim 8.7 \text{ m/s}$  (Harding 208

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et al., 2021, 2023) and temperatures with uncertainty of around 7 K (Stevens, 2022) in the  $\sim$ 94-105 km altitude region.

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#### 2.4 TIMED/SABER

The Thermosphere Ionosphere Mesosphere Energetics Dynamics (TIMED) satel-212 lite was launched in December 2001 into a circular orbit near 625 km altitude with a  $\sim 74^{\circ}$ 213 inclination (Russell et al., 1999). Sounding of the Atmosphere using Broadband Emis-214 sion Radiometry (SABER) is a limb-scanning infrared radiometer onboard TIMED, op-215 erating with 10 broadband channels spanning 1.27-17  $\mu$ m. SABER retrieves kinetic tem-216 peratures from CO<sub>2</sub> emissions at 15  $\mu$ m and 4.3  $\mu$ m wavelengths, covering altitudes from 217 roughly 20-120 km (Mertens et al., 2001). The retrieval errors are  $\pm 1-2$  K below  $\sim 70$ 218 km, with an additional error of  $\sim 1.4$  K at higher altitudes due to nonlocal thermody-219 namic equilibrium (non-LTE) effects (Remsberg et al., 2008). Here, we will utilize SABER 220 temperature data (v2.0 Level 2). This data set provides global coverage in one day and 221 captures two different local times (LTs) for any given latitude. 222

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### 2.5 TIEGCM-ICON

The Thermosphere-Ionosphere-Electrodynamics General Circulation Model (TIEGCM) 224 developed by the National Center for Atmospheric Research (NCAR)'s High-Altitude 225 Observatory (HAO), is a first-principle, time-dependent, three-dimensional self-consistent 226 numerical model of the thermosphere (Dickinson et al., 1984; Roble et al., 1988; Rich-227 mond et al., 1992; Qian et al., 2014, 2024), which includes the dynamics, energetics and 228 chemistry with a steady-state ionospheric electrodynamo in a realistic geomagnetic main 229 field defined by the International Geomagnetic Reference Field (IGRF-13) (Alken et al., 230 2021). The initial developments of the TIEGCM can be traced back to seminal works 231 by Dickinson et al. (1984), Roble et al. (1988), and Richmond et al. (1992). Recent ad-232 vancements are documented in studies such as Qian et al. (2014), Richmond and Maute 233 (2013), Qian et al. (2024), and related references. TIEGCM v2.0 covers altitudes rang-234 ing from approximately 97 km up to 450-600 km, with 'standard' resolution set at  $2.5^{\circ}$ 235 by  $2.5^{\circ}$  in geographic longitude and latitude, and four grid points per scale height ver-236 tically. The ionospheric electrodynamics are computed within a modified magnetic apex 237 coordinate system (Richmond, 1995), while the solar spectral fluxes are determined by 238 the Extreme Ultra-Violet (EUV) flux model for aeronomic calculations (EUVAC) model 239

(Richards et al., 1994), utilizing observed F10.7 values. Simulations are conducted with
a timestep size of 30 seconds, and helium is considered a major species (Sutton et al.,
2015). Ion convection patterns, as described by the Weimer (2005) model, are driven by
5-min Interplanetary Magnetic Field (IMF) 'By' and 'Bz' magnitudes, along with solar
wind velocity and density. High latitude energy input associated with auroral particle
precipitation is modeled based on an analytical auroral model (Roble and Ridley 1987).

An ICON-adapted version of TIEGCM (Maute 2017; Maute et al., 2023), herein 246 referred to as 'TIEGCM-ICON', is employed. In this TIEGCM-ICON configuration, the 247 model's lower boundary (LB) is specified based on ICON/MIGHTI wind and temper-248 ature measurements using an HME fitting method (e.g., Forbes and Hagan 1982; Ober-249 heide et al. 2011a,b). As mentioned in Section 2.3, MIGHTI provides wind and temper-250 ature data over both day and night in the lower thermosphere (ca. 94-105 km). Over 251 a 24-hour LST cycle of around 41 days, these wind and temperature data sample every 252 combination of longitude and LST extending from about  $10^{\circ}$ S to  $40^{\circ}$ N latitude. These 253 data are used to derive global fits to empirical HME functions. These fits provide an es-254 timate of the global structure of the upward propagating diurnal and semidiurnal tides 255 in the lower thermosphere. Further details on the HME fits for ICON can be found in 256 Forbes et al. (2017) and Cullens et al. (2020). TIEGCM-ICON is based on the TIEGCM 257 v2.0 release with descriptions of the model by Qian et al. (2014). A description of the 258 TIEGCM-ICON and the differences to TIEGCM v2.0 is provided in Section 2.2 of Maute 259 (2017). Tidal propagation is notably influenced by the atmospheric background; hence, 260 employing a realistic background improves the characterization of seasonal and latitu-261 dinal variability in tidal propagation and dissipation (e.g., Jones et al., 2014). TIEGCM-262 ICON incorporates background climatologies from the Mass Spectrometer Incoherent 263 Scatter Radar Extended (MSISE00) (Picone et al. 2002) and the horizontal wind model 264 (HWM07). Based on Jones et al. (2014), no significant differences are expected by re-265 placing HWM07 with HWM14 (Drob et al. 2015). It should be noted that, given the fo-266 cus on IS time scales, no significant impacts are expected on the results contained in Sec-267 tion 3 from the particular background climatologies selected for running TIEGCM-ICON. 268

This study employs three TIEGCM-ICON simulations for analysis, all extending from December 2019 to January 2022:

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271	$\bullet$ Run 1: HME diurnal/semidiurnal tidal forcing at the LB, realistic geophysical
272	forcing ('WeiHmeV2')
273	• Run 2: HME diurnal/semidiurnal tidal forcing at the LB, constant geophysical

forcing ('WeiHmeV2\_conGeop')

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• Run 3: no tidal forcing at the LB, realistic geophysical forcing ('WeiNoHmeV2')

#### $_{276}$ 3 Methods

Various methodologies are used to isolate the IS variability in the TIEGCM-ICON 277 thermospheric winds and tides, and to investigate their connections to tropospheric sources. 278 Analyses are concentrated on latitudes  $\pm 60^{\circ}$ , where the tidal coupling between the lower/middle 279 atmosphere and the thermosphere is most effective. The statistical significance of spec-280 tral peaks is established through wavelet-type analysis, a technique commonly used to 281 analyze localized variations of power within a time series (Torrence and Compo, 1998). 282 This approach allows the decomposition of a time series into time-frequency space, en-283 abling the identification of dominant modes of variability and their temporal evolution. 284 Wavelet transforms have been widely applied in geophysical studies, including those on 285 tropical convection and thermosphere-ionosphere (T-I) interactions (Yamazaki, 2023). 286 In this study, we utilize the wavelet analysis package of Torrence and Compo (1998), in-287 corporating the rectification method of Liu et al. (2007) to enhance accuracy and reli-288 ability. 289

The analyses are performed on 'filtered' time series to isolate IS variations in the 290 relevant quantities within the time domain and to gain insights into their amplitudes and 291 temporal variability. This 'filtering' process involves: (a) creating residuals for each day 292 by subtracting 90-day running means from the raw data; and (b) applying a 5-day run-293 ning mean to the residuals to reduce noise. While this 'filtering' is not a 'true' band-pass 294 filter, it has been successfully employed to study periodic signals in thermospheric data 295 in the time domain (e.g., Forbes et al., 2006; Hughes et al., 2022; Gasperini et al., 2023). 296 In this study, we refer to these 5-day running means of 90-day residuals as 'filtered' time 297 series. Furthermore, correlation analyses are used to identify and characterize IS vari-298 ations in the thermosphere and their potential tropospheric sources. Specifically, lagged 200 correlation analysis is performed on (a) the time series of MJO RMM1 index and ther-300 mospheric tides, and (b) the time series of thermospheric tides and the ISO amplitude 301 of the zonal mean zonal wind. Correlation coefficients are determined using Pearson's 302

distribution, with thermospheric oscillations treated as the independent variable and tropospheric oscillations as the dependent variable. A moving correlation coefficient, as described at the end of Section 4, is utilized to provide further insights.

Characterizing the complete diurnal and semidiurnal tidal spectrum necessitates 306 resolving zonal wavenumbers and periods (e.g., Yamazaki 2023). This analysis requires 307 two-dimensional (2-D) spatiotemporal data, specifically data as a function of longitude 308 and time. Techniques such as the 2-D fast Fourier transform (FFT) (e.g., Hayashi, 1971) 309 and the 2-D least squares fitting method (e.g., Wu et al., 1995) can be employed to de-310 termine the zonal wavenumber, wave period, amplitudes, and phases of a particular tidal 311 component. Given the transient nature of tides in the lower thermosphere, a short-time 312 analysis is typically utilized. In this study, for tidal analysis of the TIEGCM-ICON model 313 output, we apply the 2D (space-time) FFT on a daily basis, progressing one day at a time. 314 The spectral decomposition technique of Hayashi (1971) is used to resolve westward or 315 eastward propagating waves. The Hayashi (1971) method is straightforward to imple-316 ment, and its spectrum directly provides wave amplitudes in units of the input data, fa-317 cilitating interpretation. It is important to note that while the TIEGCM-ICON lower 318 boundary (LB) tides are derived using 41-day means of ICON MIGHTI observations, 319 the effects of dissipation and mean winds above the LB, nonlinear interactions, and in 320 situ wave generation can cause significant day-to-day variability in the thermospheric 321 tidal spectrum. Thus, TIEGCM tidal amplitudes and phases are obtained on a daily ba-322 sis using the technique discussed above. 323

Additionally, to examine the connection between the MJO and tidal variations, we 324 employ a standard MJO diagnostic known as Hovmöller analysis (Wheeler & Kiladis, 325 1999), following an approach similar to Kumari et al. (2021). The Hovmöller analysis 326 involves: (a) applying a 30-90 day bandpass filter on tidal amplitudes and phases and 327 (b) extracting the eastward-propagating signal. Unlike previous studies (e.g., Gasperini 328 et al., 2020; Kumari et al., 2020; Yang et al., 2018), which focused solely on tidal am-329 plitudes, the analysis incorporates tidal phase values into the Hovmöller analysis of tidal 330 perturbations. As noted by Kumari et al. (2021), this bandpass filtering reduces noise 331 errors in the input data thus resulting in a 'cleaner' MJO signature. 332

#### 333 4 Results

The focus of this study is on the period spanning from 1 January 2020 to 31 De-334 cember 2021, characterized by low geomagnetic and solar activity influences. Initially, 335 we assess the geophysical conditions specific to this timeframe. Figure 1 includes time 336 series plots of daily Kp geomagnetic and F10.7 solar radio flux indices (black lines), along-337 side their filtered counterparts (blue lines) and wavelet analyses of their daily filtered val-338 ues. Daily Kp values consistently remain below 3.0, indicative of (relatively) low geo-339 magnetic activity conditions. The F10.7 solar flux shows variability within the range of 340 75 SFU to 125 SFU, typical for solar minimum to medium conditions. Filtered Kp (F10.7) 341 values range from -1 (-20 SFU) to +2 (+20 SFU). Wavelet analyses of Kp and F10.7 re-342 veal pronounced variations with periods close to the solar rotation period of  $\sim 27$  days, 343 particularly notable during September-December 2020 and July-December 2021, as cor-344 roborated by the filtered time series. Additionally, Figure 1 depicts the time series of OLR 345 and RMM1 indices (black lines), along with their filtered time series (blue lines) and wavelets. 346 In contrast to the geomagnetic and solar indices, which exhibit significant variations in 347 the 20-40 day range, OLR and RMM1 demonstrate prominent IS variations spanning 348 periods from 40 to 120 days throughout the two years. These IS variations in RMM1 will 349 be further analyzed in subsequent sections of this study. 350

Next, we analyze the near-equatorial  $(\pm 15^{\circ} \text{ average})$  zonal- and diurnal-mean (i.e., 351 longitudinal and 24-hour UT/LT averaged, hereafter 'ZDM') zonal winds at  $\sim$ 150 km 352 (pressure level 20) and  $\sim 300$  km (pressure level 40) altitudes for the three TIEGCM-ICON 353 cases listed in Section 2.5: ('Run 1') TIEGCM with HME tidal forcing at the LB and 354 realistic geophysical forcing ('WeiHmeV2'), ('Run 2') TIEGCM with HME tidal forcing 355 at the LB but constant geophysical forcing ('WeiHmeV2\_conGeop'), and ('Run 3') TIEGCM 356 with no tidal forcing at the LB but with realistic geophysical forcing ('WeiWoHmeV2r1'). 357 Geophysical forcing for 'Run 2' is: F10.7=71 sfu, solar wind speed = 400 km/s; solar wind 358 density =  $4.1/\text{cm}^3$ , IMF By=0 nt, Bz=1nT, hemispheric power = 12 GW. The time se-359 ries of ZDM zonal winds at  $\sim 150$  km altitude for 'Run 1', 'Run 2', and 'Run 3' are de-360 picted in Figures  $2a_1 - 2c_1$ , respectively, showing daily values (black lines) and filtered val-361 ues (blue lines), while their corresponding Morelet wavelets are presented in Figures  $2a_2$ -362  $2c_2$ . For 'Run 1', the time series and wavelets reveal significant IS variability of about 363  $\pm 15$  m/s over the span of 2 years, exhibiting a particularly pronounced periodicity of around 364 64 days. This periodic behavior in the ZDM zonal winds, peaking notably around October-365

December 2020 and July-November 2021, mirrors similar periodicities observed in OLR 366 and RMM1 (ref. Figures  $1c_2$  and  $1d_2$ ). Note that the influence of the MJO on the ther-367 mosphere is distinct from the differences observed between years of equinox transition. 368 While both phenomena can impact atmospheric dynamics, the MJO's influence is related 369 to its IS variability and the propagation of its associated atmospheric waves. In contrast, 370 the differences between years of equinox transition are mainly driven by annual changes 371 in solar radiation and the resulting variations in atmospheric circulation patterns. There-372 fore, these influences are not the same but may interact in complex ways to affect ther-373 mospheric conditions. Additionally, variations of about  $\pm 5$  m/s occurring near 27 days 374 (and its harmonics at  $\sim 13.5$  days and  $\sim 54$  days), evident during August 2020, April-May 375 2021, and October-November 2021, are closely aligned with variations in the geomag-376 netic (Figure  $1a_2$ ) and solar (Figure  $1b_2$ ) indices. These fluctuations are attributed to 377 the well-documented influence of solar rotation variation in EUV flux on thermospheric 378 parameters (e.g., Qian and Solomon, 2012 and references therein). In contrast, 'Run 2' 379 displays substantial IS variability akin to 'Run 1', but lacks the characteristic variabil-380 ity around 27 days associated with solar and geomagnetic activity as geophysical forc-381 ing is kept constant for this case. Similarly, a direct comparison of unfiltered ZDM zonal 382 wind time series between 'Run 1' and 'Run 2' clearly highlights shorter-term ( $\sim$ 5-30-day) 383 solar/geomagnetic-induced variations in 'Run 1', absent in 'Run 2'. The observed dif-384 ferences are anticipated, considering the lack of variability in external geophysical forc-385 ing for 'Run 2'. 386

A compelling result is obtained from the analysis of 'Run 3'. The time series (Fig-387 ure  $2c_1$ ) and wavelet (Figure  $2c_2$ ) of 'Run 3' reveal solar/geomagnetic-induced variations 388 around 27 days and their harmonics, but lack any IS variations in the 60-100 day range, 389 notably the ~64-day variation observed in both 'Run 1' and 'Run 2'. This finding in-390 dicates that the  $\pm 15$  m/s variability in ZDM zonal winds around 150 km in 'Run 1' and 391 'Run 2' is largely driven by the diurnal and semidiurnal tides propagating from below 392  $\sim 97$  km. Although these results specifically address the 150 km altitude region, simi-393 lar conclusions apply to higher altitudes, such as near 300 km, as illustrated in Figures 394  $2d_1 - f_1$  and  $2d_2 - f_2$ . Detailed analyses of latitudinal and altitudinal dependencies in 395 the IS response of ZDM winds to the upward propagating tidal spectrum are provided 396 in subsequent sections. 397

The results presented in Figure 2 demonstrated that the diurnal/semidiurnal tidal 398 spectrum entering the lower thermosphere near 97 km is a leading driver of the dynam-399 ical variability in the mean thermospheric zonal winds around IS time scales. Compa-400 rable results have been observed for other model parameters, such as thermospheric tem-401 perature, composition, and density. It is likely that ionospheric quantities, including elec-402 tron density, ion drifts, and total electron content, will exhibit similar effects, which will 403 be investigated in a dedicated follow-up study. Next, we aim to characterize the altitude, 404 latitude, and month-of-year (moy) dependencies on this tidal-induced IS variability in 405 ZDM zonal winds. To this end, we compute difference fields between the full model out-406 puts from 'Run 1' (with ICON/HME tides at the LB) and 'Run 3' (with no tides at the 407 LB). Note that both 'Run 1' and 'Run 3' incorporate the same geophysical forcing, hence 408 the difference fields are expected to exhibit negligible variability associated with 'exter-409 nal' forcing and thus enable us to focus on the thermospheric effects from the LB tides. 410

Next, we examine the altitude-moy contours of the ZDM zonal winds near the equa-411 tor  $(\pm 15^{\circ})$  from 'Run 1' (Figures  $3a_1$ ) and the 'Run 1' - 'Run 3' difference field (Figures 412  $3a_2$ ), along with the 5-90-day-filtered variations of the difference field (panel  $a_3$ ). Con-413 sistently with the wavelet results in Figure 2, the IS variations in ZDM zonal winds max-414 imize around October-December 2020 and July-November 2021 with variability up to 415  $\pm 20$  m/s. The altitude-moy structure of the filtered ZDM winds is in general agreement 416 with previous modeling results by Gasperini et al. (2017) who examined a MERRA-2-417 forced TIMEGCM simulation and satellite observation during 2009-2010 demonstrat-418 ing a connection between the background zonal winds, the DE3 tide, and tropical tro-419 pospheric convection. Using only a model simulation with realistic lower boundary and 420 external forcing, Gasperini et al. (2017) could not unequivocally determine and quan-421 tify the sources of IS variations in the mean winds and the associated dependencies on 422 altitude and latitude. As shown in Figure  $3a_3$ , the tidally-induced zonal wind ISOs max-423 imize in the lower thermosphere near 110-130 km altitude. This region is where upward-424 propagating tidal components undergo significant dissipation imparting their energy, mo-425 mentum, and temporal variability to the background (e.g., Truskowski et al., 2014). Re-426 markably, and in agreement with Gasperini et al. (2017), the tidally-driven mean wind 427 ISOs retain significant values even in the middle and upper thermosphere with variations 428 exceeding  $\pm 15$  m/s near 400 km altitude. 429

Proceeding further, we investigate the tidally-induced latitude-moy structure in the 430 ZDM zonal winds around two altitudes of interest: 150 km (Figures  $3b_1-b_3$ ) and 300 431 km (Figures  $3c_1-c_3$ ). For both altitudes, the IS variability is shown to be most promi-432 nent within about  $\pm 20^{\circ}$  latitude (ref. Figures  $3b_3$  and  $c_3$ ) and display values up to about 433  $\pm 20$  m/s ( $\pm 15$ ) near 150 km (300 km). Notably, the latitude structure of the ISOs is strongly 434 seasonally-dependent. A closer examination of Figures  $3a_3$  and  $3b_3$  reveals dominant equa-435 torially symmetric and asymmetric latitude structures that vary in importance through 436 the two years. This result points to the collective effect of multiple tidal components in 437 determining the latitude-moy-altitude structure of the background zonal wind. Each tidal 438 component has its dominant Hough modes, with the amplitude of each Hough mode strongly 439 dependent on latitude and season (e.g., Oberheide et al., 2011a,b; Forbes et al., 2022; 440 Gasperini and Oberheide, 2024). The effect of different tidal components on the observed 441 zonal wind ISOs is examined in further detail in the later part of this study. Note that 442 due to the  $\sim$ 41-day averaging associated with the MIGHTI/HME fitting, tidally-driven 443 ZDM zonal winds exhibit no appreciable variability with periods shorter than  $\sim 30$  days. 444 Consequently, it is deemed appropriate to employ 5-90 day 'filtered' fields (as detailed 445 in Section 3) to quantify 'IS variability'. 446

Figure 4 investigates the altitude-latitude structure of IS variations induced by tides 447 in the ZDM zonal winds, focusing on two specific days with significant and oppositely-448 phased IS variations: 10 October 2020 (Figures  $1a_1$ - $1a_3$ ) and 10 November 2020 (Fig-449 ures  $1b_1-1b_3$ ). Similar to Figure 3, the ZDM zonal winds in Figure 4 are derived from 450 the difference field between 'Run 1' and 'Run 3'. Furthermore, filtering is applied to this 451 mean zonal wind field to highlight IS variations. The IS variability is found to be in the 452  $\pm 10$ -15 m/s range, with increased westward (eastward) ZDM zonal winds between ap-453 proximately 10°S-20°N and 60°S-40°S (40°S-10°S and 20°N-60°S) on 10 October. A nearly 454 opposite latitudinal response is observed on 10 November, with increased eastward winds 455 around  $\pm 20-30^{\circ}$  latitude and westward wind enhancements generally present at mid-latitudes. 456 A more detailed visual inspection of Figure 4 reveals significant latitudinal asymmetries 457 in the background zonal wind response to the upward propagating tidal spectrum. The 458 winds are shifted toward the northern hemisphere by about  $10^{\circ}$  on both 10 October and 459 10 November, likely due to the effect of mean wind. Vertically varying mean winds can 460 effectively alter the altitude-latitude propagation characteristics of different tidal modes, 461 strongly depending on the longitudinal direction of propagation, frequency, and wavenum-462

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ber. More discussion on this topic is provided below as the latitude-moy structure and IS variability of different tidal components are examined. Finally, as best exemplified in Figures  $4a_3$  and  $4b_3$ , it is important to note that the altitude of maximum background wind variations occurs around 100-130 km, the altitude region where the upward-propagating tidal spectrum capable of reaching the lower thermosphere undergoes the most significant dissipation. Variability in the dominant tidal components is likely to be a leading source of difference in the altitude-latitude structures between the two days.

The ICON-HME-informed TIEGCM simulations have thus far demonstrated the 470 prominent role of the lower thermospheric diurnal and semi-diurnal tidal spectrum in 471 generating IS variability in the  $\sim 100-400$  km altitude region, with the strongest effects 472 on the background zonal wind occurring at low latitudes and around 110-130 km alti-473 tude. Next, to gain better insights into the role played by the upward-propagating tidal 474 spectrum we analyze the altitude-moy and latitude-moy structures of the nonmigrating 475 DE2 and DE3 tides and the migrating DW1 and SW2 tides. It is important to note that, 476 aside from DE2 and DE3, other upward-propagating tidal components from the lower/middle 477 atmosphere can play an important role in the whole-atmosphere coupling on IS timescales 478 (notably SE2 and DE1). However, it would be beyond the scope of this paper to exam-479 ine all the tidal components from these model simulations in detail and this effort is left 480 for follow-on work that may need to account also for terdiurnal tides and ultra-fast Kelvin 481 waves. The vertical structure of zonal wind DE2, DE3, DW1, and SW2 amplitudes as 482 a function of time (2020-2021) between 100 km and 400 km from TIEGCM-ICON 'Run 483 1' are contained in Figures  $5a_1$ - $5d_1$ , respectively. Figures  $5a_1$ - $5d_1$  present the unfiltered 484 tidal amplitudes, while Figures  $5a_2$ - $5d_2$  display the same components after the applica-485 tion of a 5-90-day filter to highlight IS variability. From these depictions, it is clear that 486 the amplitudes of the nonmigrating DE2 and DE3 tides grow exponentially with height 487 up to  $\sim 120$  km, the altitude region where dissipation stops their growth causing the am-488 plitudes to decrease before reaching asymptotic values above about 200 km altitude. Molec-489 ular diffusion is the dominant dissipation mechanism for vertically propagating waves 490 in the thermosphere. 491

As established by Chapman and Lindzen (1970), the exponential growth of an upwardpropagating tide ceases in altitude regions where the timescale for molecular dissipation approximates the wave period ( $\chi \sim 1$ ). In this context,  $\chi$  is defined as  $\chi = \left| \frac{2\pi}{\lambda_z^2} \frac{\mu_0}{\rho} \frac{1}{\sigma} \right|$ , where  $\lambda_z$  represents the vertical wavelength,  $\mu_0$  denotes the molecular dissipation coefficient,

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 $\rho$  is the mean density, and  $\sigma$  stands for the wave frequency. When  $\chi \sim 1,$  molecular pro-496 cesses dominate, and a tide shifts from exponential growth to decay. The altitude where 497  $\chi \sim 1$  depends quadratically on  $\lambda_z^2$ , and also varies with the wave frequency  $\sigma$  and mean 498 density  $\rho$ . The first symmetric Hough modes of DE2 and DE3 encounter  $\chi \sim 1$  in the 499 altitude range of approximately 110-130 km, corresponding to peaks in amplitude as shown 500 in Figures  $5a_1$ - $5b_1$ . As previously mentioned, classical tidal Hough functions serve as the 501 orthogonal bases for the latitudinal structure of tidal components, while HMEs repre-502 sent the altitude extension of these Hough functions incorporating tidal dissipation mech-503 anisms. Tides can be expressed as a combination of HMEs. The primary characteris-504 tics of the DE3 in the MLT can be effectively captured by a combination of the first sym-505 metric mode (equatorial, HME1) and the first antisymmetric mode (non-equatorial, HME2), 506 whereas DW1 is predominantly composed of the first symmetric component (HME1). 507 The symmetric DW1 mode is largely driven by tropospheric heating, while the two main 508 modes in DE3 result from both tropospheric heating and mean zonal wind variations in 509 the stratosphere and lower mesosphere. DE3 generally exhibits its strongest amplitudes 510 from July to November, with peak amplitudes around 15 m/s in zonal winds near 95 km 511 (e.g., Talaat and Lieberman, 1999; Forbes et al., 2003) and upward of 20 m/s near 260 512 km (e.g., Gasperini et al., 2015, 2020). 513

As a major driver of IS variability in the thermosphere, DE3 originates from la-514 tent heat release in deep convective clouds in the tropical troposphere (e.g., Hagan et 515 al., 1997; Hagan and Forbes, 2002; Oberheide et al., 2011a,b). The symmetric mode HME1 516 of DE3, characterized by  $\lambda_z$  of ~56 km, extends higher into the thermosphere and peaks 517 typically from July to November (e.g., Oberheide et al., 2011a,b; Forbes et al., 2022; Gasperini 518 et al., 2023, 2024). Conversely, the antisymmetric mode HME2 of DE3, with  $\lambda_z \sim 30$ 519 km, shows increased amplitudes, dominating the DE3 spectrum from December to Febru-520 ary. Mean wind fields are known to significantly distort the altitude-latitude tidal struc-521 tures between 100 and 400 km (Gasperini et al., 2017). The asymmetries in DE3 and 522 other upward-propagating tidal components primarily arise from the linear superposi-523 tion of one or more antisymmetric modes, generated through processes such as 'mode 524 coupling' (e.g., Lindzen and Hong, 1974) or 'cross-coupling' (e.g., Walterscheid and Venkateswaran, 525 1979a, 1979b). Mean winds primarily distort the height-latitude structures of eastward 526 propagating waves, like DE3 and DE2, by aligning them with westward wind regimes 527 in the thermosphere. Theoretical interpretations (e.g., Forbes and Vincent, 1989; Forbes, 528

2000; Gasperini et al., 2017) suggest that westward (eastward) zonal mean winds Doppler shift eastward propagating waves to higher (lower) frequencies and longer (shorter) ver tical wavelengths, thus reducing their dissipation effects.

The unfiltered DE2 (Figure  $5a_1$ ) shows prominent maxima below 200 km and sig-532 nificant amplitudes extending up to 300 km, with clear periodic enhancements occur-533 ring approximately every few months. The filtered DE2 amplitudes (Figure  $5a_2$ ) high-534 light large IS variations, with the most significant activity around 100-150 km and re-535 curring peaks indicating variability of around 10-30 days. The DE3 tidal component (Fig-536 ure  $5b_1$ ) displays strong seasonal maxima around 150 km, with amplitudes decreasing 537 with altitude. The filtered DE3 (Figure  $5b_2$ ) reveals pronounced IS variability, partic-538 ularly notable around 100-150 km, exhibiting periodic fluctuations over 5-60 days. The 539 DW1 component (Figure  $5c_1$ ) exhibits comparatively much smaller IS amplitudes of around 540  $\pm 6$  m/s (versus ~80 m/s maximum amplitudes), likely due to the upward-propagating 541 component and nonlinear wave-wave interactions. The unfiltered SW2 (Figure  $5d_1$ ) shows 542 distinct seasonal peaks around 150 km, with amplitudes extending above 200 km. The 543 filtered SW2 (Figure  $5d_2$ ) demonstrates IS variability predominantly below 200 km, with 544 a noticeable periodicity of 10-30 days. 545

Next, the latitude-temporal structure and IS variability of DE2, DE3, DW1, and 546 SW2 tidal components in the TIEGCM-ICON model 'Run 1' at an altitude near 100 km 547 are examined. Figures  $6a_1$ - $6d_1$  present the unfiltered tidal amplitudes, while Figures  $6a_2$ -548  $6d_2$  show the same components after applying a 5-90-day filter to emphasize IS variabil-549 ity. The unfiltered DE2 (Figure  $6a_1$ ) shows significant amplitude maxima primarily con-550 centrated near the equator and around 20°S and 20°N, with periodic enhancements over 551 time. The filtered DE2 (Figure  $6a_2$ ) reveals that IS variability occurs across all latitudes, 552 with pronounced peaks of around 4 m/s at equatorial and low-latitudes ( $<30^\circ$ ) recur-553 ring every  $\sim 30-60$  days. The DE3 component (Figure  $6b_1$ ) exhibits strong amplitudes 554 centered around the equator and extending to about  $30^{\circ}$  in both hemispheres. The fil-555 tered DE3 (Figure  $6b_2$ ) highlights the IS variations of around 4 m/s, showing more lo-556 calized and periodic enhancements, especially near the equator and low-latitudes  $(<30^\circ)$ , 557 on a 30-60 day timescale. The unfiltered DW1 (Figure  $6c_1$ ) has substantial amplitudes 558 extending across a wide latitudinal range, with maxima of around 5 m/s near the equa-559 tor and mid-latitudes  $(\pm 30^{\circ})$ . Filtering (Figure  $6c_2$ ) reveals IS variability in DW1 with 560 shorter periodicities (compared to DE2 and DE3) of around 10-30 days, visible across 561

a broad latitudinal range but most pronounced near mid-latitudes. The SW2 component (Figure  $6d_1$ ) displays distinct seasonal peaks at mid/higher latitudes ( $\pm 40-60^\circ$ ). The filtered SW2 (Figure  $6d_2$ ) shows near to no IS variability near the equator and low latitudes ( $<30^\circ$ ), with larger amplitudes up to  $\sim 16$  m/s occurring above  $45^\circ$  latitude and periodic peaks occurring every 10-30 days.

Figures 7 and 8 present the same results as Figure 6, but at higher altitudes, specif-567 ically near 150 km and 300 km, respectively. The latitude structure of DE2 and DE3 un-568 dergoes significant broadening due to the effect of molecular dissipation. For example, 569 while DE3 zonal wind amplitudes of approximately 20 m/s are confined to  $\pm 20^{\circ}$  lati-570 tude at around 100 km altitude (Figure  $6b_1$ ), these 20 m/s DE3 zonal winds extend to 571 latitudes as high as  $40^{\circ}$  at around 300 km (Figure  $8b_1$ ). The IS variations in both DE2 572 and DE3 demonstrate comparable magnitudes of approximately 4.5 m/s across all al-573 titudes, suggesting that the source of these variations likely originates below 100 km, as 574 no significant in situ generation of IS variability is observed. Similar observations can 575 be made regarding the temporal variability in the filtered amplitudes for DE2 and DE3. 576 Meanwhile, DW1 and SW2 show significantly increased amplitudes above approximately 577 130-150 km, as also illustrated in Figures  $5c_1$ - $5d_1$ , due to the in situ generation of these 578 migrating tidal components. Interestingly, SW2 zonal winds (Figures  $5d_1$ ,  $7d_1$ ,  $8d_1$ ) dis-579 play substantial lower thermospheric amplitudes ( $\sim 25 \text{ m/s}$ ) during August-September 580 2020, which are retained in the middle thermosphere. Their filtered amplitudes (Figures 581  $5d_2$ ,  $7d_2$ ,  $8d_2$ ) also exhibit prominent amplitude and vertically-coherent IS variability at 582 all altitudes during this period. This finding aligns with the results of Maute et al. (2023), 583 who observed large upward-propagating SW2 in TIEGCM-ICON during the 50-day pe-584 riod from 7 August 2020 to 27 September 2020. Maute et al. (2023) reported significant 585 effects of sudden changes in SW2 on the upper thermosphere and ionosphere around/after 586 19 August 2020. These changes in SW2 are linked to the dominance of antisymmetric 587 higher-mode HMEs post-August 2020 and are evident in Figure  $5d_1$ . This drastic tran-588 sition can be attributed to possible influences around the equinox, associated with the 589 combined effects of transition characteristics in different altitude regions. 590

Figure 8 demonstrates significant  $\sim 10-$  to  $\sim 30$ -day variability in DW1 (and to a lesser extent in SW2) near 300 km, particularly at higher (>45°) latitudes. This periodic behavior in the migrating tides is attributable to geophysical forcing, particularly heating linked with the in situ generation of these two migrating tidal components in the

middle thermosphere. Figure 9 illustrates the latitude-temporal structure of DE2, DE3, 595 DW1, and SW2 near 300 km for the TIEGCM/ICON simulation with constant geophys-596 ical forcing (i.e., 'Run 2'). The solar/geomagnetic-driven  $\sim 10-$  to  $\sim 30$ -day variability 597 in the migrating tides is absent, and the IS variations in DW1 are significantly dimin-598 ished. Notably, SW2 retains substantial ( $\pm 16 \text{ m/s}$ ) low-latitude IS variability, far exceed-599 ing those observed in DE2 and DE3 ( $\pm 5$  m/s). This IS variability in SW2 can be attributed 600 to the upward propagating component (ref. Maute et al., 2023), as well as nonlinear in-601 teraction processes in the thermosphere, including (a) interactions between SW2 and other 602 tides; (b) interactions with planetary waves or gravity waves; (c) modulation of back-603 ground fields; and (d) coupling with ionospheric processes. It is beyond the scope of this 604 paper to further investigate these connections; this effort is left for dedicated follow-up 605 studies. In conclusion, Figures 5-9 collectively underscore the critical importance of tidal 606 forcing in driving IS thermospheric variability. These results indicate that significant IS 607 variability in tidal amplitudes propagates vertically and can considerably impact the ther-608 mospheric dynamics. These observations highlight the dynamic and complex nature of 609 tidal forcing in the thermosphere. 610

As a next step, we aim to further investigate the connection between IS variabil-611 ity in the ZDM thermospheric winds and the MJO, as represented by the RMM1 index 612 (ref. Figure  $2d_1$ - $2d_2$ ). To this end, Pearson correlation analyses are performed between 613 the filtered ZDM zonal winds, RMM1, and tidal amplitudes shown in Figures 5-9. All 614 these time series are filtered using the method described in Section 3 to highlight IS vari-615 ability. Figure 10 presents results from correlation analyses performed on the ZDM zonal 616 winds, the near-equatorial (15°S-15°N mean) DE3 amplitudes, and the RMM1 index. 617 Figure  $10a_1$  (Figure  $10b_1$ ) shows the time series of ZDM zonal winds and DE3 ampli-618 tudes (RMM1) at  $\sim$ 150 km altitude. The blue lines represent the filtered ZDM zonal winds 619 (Figure  $10a_1$ ) and RMM1 (Figure  $10b_1$ ), while the red lines depict the filtered DE3 am-620 plitudes. Figures  $10c_1$ - $10d_1$  show the same results as Figures  $10a_1$ - $10b_1$  but at  $\sim 300$  km 621 altitude. 622

The temporal variations in the filtered ZDM zonal winds and DE3 amplitudes exhibit concurrent fluctuations with RMM1, suggesting a plausible connection with the MJO. The correlation analysis in Figures  $10a_2$ - $10b_2$  quantifies the relationship between the time series depicted in Figures  $10a_1$ - $10b_1$ . The lagged Pearson correlation coefficient obtained using 180-day moving windows. This moving window's length is selected to be equal to

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twice the maximum IS period of 90 days. The correlations reveal how the ZDM zonal 628 winds and DE3 amplitudes may respond to changes in the MJO, with a notable corre-629 lation peak around/above r=0.6 with a positive lag of around 28 days (14 days) for the 630 ISO (DE3), indicating that changes in RMM1 precede variations in ISO and DE3 am-631 plitude by about 2 to 4 weeks, respectively. Figures  $10c_1$  and  $10d_1$  extend the analyses 632 to a higher altitude of  $\sim 300$  km. These analyses demonstrate similar concurrent fluc-633 tuations between the RMM1 and DE3/ZDM ISO amplitudes, albeit with different mag-634 nitudes compared to the 150 km altitude. Figures  $10c_2$  and  $10d_2$ , containing the lagged 635 correlation coefficients at  $\sim 300$  km altitude, show similar patterns to those at  $\sim 150$  km, 636 with a peak correlation at a positive lag but lower correlations for the ISO of around r=0.4. 637 These results suggest that the influence of the MJO on DE3 and ZDM amplitudes ex-638 tends through multiple atmospheric layers and well into the middle thermosphere. The 639 analysis provided in Figure 10 suggests a significant interaction between the MJO, as 640 represented by the RMM1 index, and the DE3/ZDM zonal winds in the thermosphere. 641 The positive lag in the correlation coefficients indicates that the MJO's influence on these 642 tidal components is consistent across different altitudes, implying a coherent vertical cou-643 pling mechanism within the atmospheric column. These findings highlight the complex 644 dynamics of atmospheric tides and their modulation by large-scale oscillations like the 645 MJO, which could have implications for understanding atmospheric wave coupling and 646 energy transfer processes. Below, we performed more detailed analyses on the DE3 tide, 647 accounting for the zonal phase propagation to unambiguously demonstrate a connection 648 between this tidal component and the MJO. 649

Figure  $11a_1$  presents the Hovmöller diagram of the MJO-filtered near-equatorial 650 (20°S-20°N mean) zonal wind DE3 near 110 km altitude from TIEGCM-ICON. The color 651 bar indicates wind deviations in m/s, with positive anomalies shown in red and nega-652 tive anomalies in blue. The y-axis displays the time progression from January 2020 to 653 January 2022, while the x-axis represents longitude. Figure  $11a_2$  displays the SABER 654 temperature DE3 similarly filtered for the MJO component. The temperature anoma-655 lies are represented in K, with the color bar indicating positive and negative anomalies. 656 Note that the SABER DE3 tidal amplitudes and phases were obtained using 45-day mov-657 ing windows, applying the tidal deconvolution method described by Oberheide et al. (2002). 658 This method allows for the isolation and analysis of specific tidal components by filter-659 ing out other tidal and non-tidal variations. Both Figures  $11a_1$  and  $11a_2$  reveal the lon-660

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gitudinal propagation of the MJO signal, characterized by eastward propagation and al ternating patterns of positive and negative anomalies every 30-90 days. These analyses
 confirm that the eastward propagation of DE3 is consistent with the MJO and demon strates a high degree of self-consistency between the TIEGCM-ICON modeled and SABER
 observed DE3.

Figure  $11b_1$  illustrates the normalized amplitudes of the DE3 tidal component, de-666 rived from the MJO-filtered series in Figures  $11a_1$  and  $11a_2$ . The SABER MJO-filtered 667 temperature DE3 amplitudes are depicted as a red line, while the TIEGCM MJO-filtered 668 zonal wind DE3 amplitudes are shown as a black line. The x-axis covers the period from 669 January 2020 to December 2022, and the y-axis represents normalized values ranging from 670 -1 to 1. The synchronization between the red and black lines indicates a strong corre-671 lation between the temperature and wind DE3 responses to the MJO near 110 km al-672 titude, demonstrating the coherent behavior of the MJO signal in the DE3 component 673 in both temperature and wind fields. Some discrepancies in the temporal variability and 674 magnitude (e.g., MJO variations of around 2.4 m/s in DE3 zonal winds versus 1.2 K in 675 DE3 temperatures) between TIEGCM and SABER may be attributed to differences in 676 the tidal extraction methods used and known differences in wave characteristics between 677 temperature and wind fields (see, e.g., a related discussion in Forbes et al., 2024). Nev-678 ertheless, Figure 11 provides a comprehensive view of the MJO's influence on the DE3 679 tidal component, showcasing the longitudinal propagation of temperature and wind anoma-680 lies. The normalized amplitudes in Figure  $11b_1$  highlight the consistent response of these 681 anomalies to the MJO, demonstrating a strong connection between DE3 and the MJO 682 and consistency between the MJO signal in SABER and TIEGCM DE3. 683

5 Conclusions

In this study, an ICON-adapted version of the TIEGCM, incorporating lower bound-685 ary tides derived from MIGHTI observations, was utilized to characterize and quantify 686 the impact of the upward-propagating tidal spectrum on thermospheric intra-seasonal 687 (IS) oscillations (ISOs) and to elucidate connections to the Madden-Julian Oscillation 688 (MJO). Results show that thermospheric zonal and diurnal mean (ZDM) zonal winds 689 exhibit prominent ( $\sim 20 \text{ m/s}$ ) tidally-driven ISOs throughout 2020-2021, with the largest 690 variations occurring at low latitudes  $(\pm 30^{\circ})$  near ~110-150 km altitude. Correlation anal-691 yses demonstrate a robust (r>0.6) connection between the thermospheric ISOs, tides, 692

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and the tropospheric MJO, while Hovmöller diagrams indicate eastward tidal propaga-693

tion consistent with the MJO and concurrent SABER observations. 694

Key findings include:

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a) Tidal Contributions to Thermospheric ISOs: Results show that the diurnal/semidiurnal 696 tidal spectrum entering the lower thermosphere near 97 km is a leading source of 697 IS variability in the ZDM zonal wind over the whole ( $\sim 100-400$  km) thermosphere. 698 Notably, a large  $\sim 64$ -day periodicity in ZDM zonal winds aligns with similar pe-690 riodicities observed in OLR and RMM1 and is demonstrated to be both tidal- and 700 MJO-related. 701

b) Altitude and Latitude Dependence: Altitude-time contours of ZDM zonal winds 702 reveal that the most substantial IS variations occur in the lower thermosphere, par-703 ticularly around 110-130 km. However, these variations also extend well into the 704 middle and upper thermosphere, indicating that tidal influences penetrate mul-705 tiple atmospheric layers. The latitude-time structures further highlight the com-706 plex interplay between tidal components and background wind fields, with distinct 707 equatorially symmetric and asymmetric patterns emerging throughout the year. 708

- c) Influence of Tidal Forcing: Comparative analysis of different TIEGCM-ICON simulations underscores the importance of tidal forcing in driving IS variability. Runs 710 with realistic tidal forcing at the lower boundary exhibit pronounced IS variations, 711 while simulations with constant geophysical forcing predominantly show solar/geomagnetic-712 induced periodicities without significant IS variations. This result highlights the 713 critical role of tidal inputs in shaping thermospheric dynamics around IS timescales. 714
- d) MJO-Thermosphere Interaction: Correlation analyses between the RMM1 index 715 and the DE3/ZDM IS variations confirm a significant interaction between the MJO 716 and thermospheric DE3 amplitudes. The positive lag in the correlation coefficients 717 indicates that MJO variations precede changes in DE3 amplitudes, suggesting a 718 coherent vertical coupling mechanism within the atmospheric column. This con-719 nection is evident across multiple altitudes, demonstrating the far-reaching influ-720 ence of the MJO on thermospheric dynamics. 721

e) Hovmöller Diagram Analyses: Hovmöller diagnostics of MJO-filtered DE3 com-722 ponents from TIEGCM-ICON and SABER data reveal eastward propagation pat-723 terns consistent with the MJO, further corroborating the link between MJO ac-724

tivity and thermospheric tidal responses. The synchronization of DE3 amplitudes
 between TIEGCM-ICON winds and SABER temperatures underscores the coher ent behavior of the MJO influence in the thermosphere.

This study underscores the intricate dynamics of atmospheric tides and their mod-728 ulation by large-scale tropospheric oscillations, such as the MJO. These interactions have 729 profound implications for the global understanding of wave coupling and energy trans-730 fer processes. Our findings reveal the complex interplay between lower atmospheric pro-731 cesses and thermospheric dynamics on IS time scales, highlighting the necessity for com-732 prehensive modeling and observational frameworks to enhance our understanding of ver-733 tical coupling mechanisms. Improving our understanding of the thermosphere has widespread 734 practical applications that benefit satellite operations, communication systems, weather 735 and climate prediction, defense and security, scientific research, and aviation. 736

Future research should focus on further quantifying these interactions and explor-737 ing their implications for thermosphere-ionosphere (T-I) states and dynamics. These ef-738 forts are expected to provide valuable insights for the development of predictive capa-739 bilities that connect tropical tropospheric weather to space weather of the T-I system. 740 This study also emphasizes the critical need for detailed investigations into the vertical 741 and horizontal coupling mechanisms within the 'thermospheric gap' region, spanning ap-742 proximately 100 to 300 km. Such investigations will be uniquely enabled by a new ded-743 icated satellite mission designed to provide simultaneous global measurements of this re-744 gion. 745

#### <sup>746</sup> 6 Open Research Section

TIEGCM-ICON output is publicly available via NASA's Space Physics Data Fa-747 cility (SPDF) at https://spdf.gsfc.nasa.gov/pub/data/icon/12/ and via ICON's 748 public site https://icon.ssl.berkeley.edu/Data. The Kp index is obtained from GFZ 749 Potsdam at https://kp.gfz-potsdam.de/app/files/Kp\_ap\_since\_1932.txt, the F10.7 750 cm radio flux from NASA/GSFC OMNIWeb at https://omniweb.gsfc.nasa.gov/form/ 751 dx1.html. NOAA Interpolated Outgoing Longwave Radiation (OLR) data and RMM 752 index values are publicly provided by the NOAA Physical Sciences Laboratory (PSL) 753 from their website at https://psl.noaa.gov. The post-processed data used to produce 754 Figures 1-11 are publicly available via Zenodo at the following doi: 10.5281/zenodo.12744700. 755

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Figure 1. Time series of daily Kp and F10.7 values (panels  $a_1$  and  $b_1$ ) before (black lines) and after (blue lines) a 5-90-day filter is applied. The respective Morlet wavelets are shown in panels  $a_2$  and  $b_2$ . Similarly, time series (wavelets) of equatorial (±15° latitude mean) OLR and RMM1 amplitudes are shown in panels  $c_1$  and  $d_1$  ( $c_2$  and  $d_2$ ), respectively.

Figure 2. Time series of zonal and diurnal mean (ZDM) zonal winds near the equator ( $\pm 15^{\circ}$  latitude mean) and 150 km altitude for TIEGCM-ICON 'Run 1'

Figure 3. (a1) Altitude (~100-400 km) versus time (month-of-year, moy, since January 2020) contours of TIEGCM-ICON ZDM zonal wind (m/s) near the

Figure 4. Altitude (~100-400 km) versus latitude ( $60^{\circ}$ S- $60^{\circ}$ N) contours of ZDM zonal winds centered on 10 October 2020 for TIEGCM 'Run 1' ( $a_1$ ), 'Run 1' - 'Run 3' ( $a_2$ ), and filtered 'Run 1' - 'Run 3' ( $a_3$ ). ( $b_1$ )-( $b_3$ ) Same as ( $a_1$ )-( $a_3$ ), but centered on 10 November 2020.

Figure 5. TIEGCM-ICON 'Run 1' zonal wind DE2 (panel  $a_1$ ), DE3 (panel  $b_1$ ), DW1 (panel  $c_1$ ), and SW2 (panel  $d_1$ ) amplitudes near the equator (15°S-15°N mean) as a function of altitude (~100-400 km) and time (months since January 2020). Panels  $a_2$ - $d_2$  show the tidal amplitudes of DE2, DE3, DW1, and SW2, respectively, after a 5-90-day filter is applied.

Figure 6. Latitude  $(60^{\circ}\text{S}-60^{\circ}\text{N})$  versus time (months since January 2020) contours of TIEGCM-ICON 'Run 1' zonal wind DE2 (panel  $a_1$ ), DE3 (panel  $a_2$ ), DW1 (panel  $a_3$ ), and SW2 (panel  $a_4$ ) amplitudes near 100 km altitude.  $(a_2)$ - $(d_2)$  Same as  $a_1$ )- $(d_1)$ , but with a 5-90-day filter applied.

Figure 7. Same as Figure 6, but near 150 km altitude.

Figure 8. Same as Figure 6, but near 300 km altitude.

Figure 9. Same as Figure 8, but for the TIEGCM-ICON 'Run 2' with constant geophysical forcing.

Figure 10. Time series near 150 km  $(a_1)$  of 5-90-day filtered near-equatorial  $(15^\circ S-15^\circ N)$ ZDM ISO (blue line, left y-axis) and DE3 zonal wind (red line, right y-axis) amplitudes and their lagged Pearson correlation coefficient  $(a_2)$  obtained on 180-day moving windows from January 2020 to December 2021.  $(b_1)-(b_2)$  Same as panels  $a_1-a_2$ , but for RMM1 and near-equatorial DE3 zonal wind amplitudes.  $(c_1)-(c_2)$  and  $(d_1)-(d_2)$  Same as  $(a_1)-(a_2)$ , and  $(b_1)-(b_2)$ , respectively, but near 300 km altitude. The DE3 zonal winds and ZDM ISOs are derived from TIEGCM-ICON 'Run 1' output.

Figure 11. Hovmöeller MJO analyses of DE3 during 2020-2021 near 110 km altitude and the equator ( $20^{\circ}$ S- $20^{\circ}$ N mean) for TIEGCM-ICON zonal wind ( $a_1$ ) and SABER temperature (panel  $a_2$ ). The SABER DE3 amplitude and phases are obtained from 45-day moving windows using the tidal deconvolution method by Oberheide et al. (2002). ( $b_1$ ) Normalized DE3 amplitudes based on the MJO filtered series in panels ( $a_1$ )-( $a_2$ ), with SABER temperature DE3 shown as a red line and TIEGCM zonal wind DE3 shown as a black line.

Figure 1.



Figure 2.







Time (year/ month)

Figure 3.



Time (year/month)

Time (year/month)

1100

Time (year/month)

-25

m/s

m/s

m/s

Figure 4.



Figure 5.



Figure 6.



Figure 7.



Figure 8.



Figure 9.



Figure 10.



Figure 11.



Normalized MJO Amplitudes

